



**Transmittal Form w Declaration**

02/08/02  


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	SAE-027	Total Pages		
		First named Inventor or Application Identifier		Shigekazu SHUKU		
		Title of Invention		HEAT-SENSITIVE RECORDING MATERIAL AND PROCESS FOR PRODUCTION OF THE SAME		
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.			ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input checked="" type="checkbox"/> Specification [Total Pages <u>74</u> ] - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 3. <input type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets <u>*</u> ] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <u>2</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies  <b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449 <input type="checkbox"/> Copies of IDS Citations (* docs) 12. <input type="checkbox"/> Preliminary Amendment with Version with Markings to Show Changes Made 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status <input type="checkbox"/> Assertion filed in prior application, status still proper and desired 15. <input checked="" type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Priority of application Nos. 2001-033041 and 2001-033042 filed on February 9, 2001, in Japan is claimed under 35 USC 119. <input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*. 16. <input type="checkbox"/> Other: <u>*</u>  <b>OTHER</b> 17. <input type="checkbox"/> Priority of <u>*</u> Patent Application No. <u>*</u> filed <u>*</u> is claimed under 35 USC 119.			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP) of prior application No.: <u>08/*</u>						
<b>18. CORRESPONDENCE ADDRESS</b>						
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u>		<input type="checkbox"/> Correspondence address below				
NAME	KUBOVCIK & KUBOVCIK					
ADDRESS	900 17th Street, N.W.					
CITY	Washington	STATE	DC	ZIP CODE	20006	
FILING DATE	February 8, 2002	TEL	202-887-9023	FAX	202-887-9093	

## FEE TRANSMITTAL

Note: Effective October 1, 2001

<b>Fee Transmittal</b> Note: Effective October 1, 2001	Application Number	Not Assigned
	Filing Date	February 8, 2002
	First Named Inventor	Shigekazu SHUKU
	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
	Attorney Docket Number	SAE-027

CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	Fee	RATE	Fee
BASIC FEE (37 CFR 1.16 (a))				\$370.00		\$740.00
TOTAL CLAIMS (37 CFR 1.16 (c))	16 - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	2 - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$140.00		\$280.00	
			SUB TOTAL		SUB TOTAL	\$740.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	\$40.00
<b>TOTAL</b>				<b>\$0.00</b>		<b>\$780.00</b>

### METHOD OF PAYMENT (check one)

1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to credit overpayments or charge insufficiencies to:		2. <input checked="" type="checkbox"/> Payment Enclosed:	
DEPOSIT ACCOUNT No.	111833	<input checked="" type="checkbox"/> Check (# <u>4487</u> for \$780.00)	<input type="checkbox"/> Money Order
DEPOSIT ACCOUNT NAME	KUBOVCIK & KUBOVCIK	<input type="checkbox"/> Other	

### SIGNATURE OF ATTORNEY, OR AGENT

NAME	Keiko Tanaka Kubovcik	REGISTRATION No.	40,428
SIGNATURE		ADDRESS	KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006
		TELEPHONE	202-887-9023
DATE	February 8, 2002	FAX	202-887-9093

KTK/cfm